

# Extended Multinational Law Licence Application Form

Please detach, sign  
and return your  
licence application to:

## New Licences

The Copyright Licensing  
Agency Ltd  
5th Floor, Shackleton House  
4 Battlebridge Lane  
London SE1 2HX

Email: [licence@cla.co.uk](mailto:licence@cla.co.uk)

New licence enquiries  
0800 085 6644

Account code (CLA only)

--	--	--	--	--	--	--	--	--	--

EML/AF/02-17

The application form and notes should be read in conjunction with the rates published on CLA's website

Full legal name of organisation

Address

Postcode

Please list below Associated Firms intended to be covered by the Licence (or attach separate list) <sup>1</sup>:


Total no. of worldwide employees<sup>2</sup>

--	--	--	--	--

Total no. of UK professional employees<sup>2</sup>

--	--	--	--	--

Total no. of UK employees<sup>2</sup>

--	--	--	--	--

Total no. of US employees<sup>2</sup>

--	--	--	--	--

Total no. of rest of the world employees<sup>2</sup>

--	--	--	--	--

I hereby apply to CLA for a Licence to take effect from the first day of<sup>3</sup>

0	1	M	M	Y	Y
---	---	---	---	---	---

## Indemnity for Past Copying<sup>4</sup>

We have copied from books, journals or magazines and/or have copied or distributed press cuttings or documents supplied by a third party in the past and wish to backdate the licence (see note 5):

Yes  No

If yes:

a) We would like the indemnity for the full six years

Or

b) We would like the indemnity from: [Insert date]

0	1	M	M	Y	Y
---	---	---	---	---	---

The Copyright  
Licensing Agency Ltd

5th Floor, Shackleton House  
4 Battlebridge Lane  
London SE1 2HX

Tel 020 7400 3100  
Email [cla@cla.co.uk](mailto:cla@cla.co.uk)  
[www.cla.co.uk](http://www.cla.co.uk)

CBC House  
24 Canning Street  
Edinburgh EH3 8EG

Tel 0131 272 2711  
Email [clascotland@cla.co.uk](mailto:clascotland@cla.co.uk)

Registered in England  
Reg no. 1690026

**I certify that I have read and understood the Terms and Conditions of the CLA Extended Multinational Law Licence in conjunction with the CLA Law Licence Rate Card and agree to abide by them. The information provided on this form is true to the best of my knowledge and belief.**

Signed

Duly authorised signatory for and on behalf of the applicant

Position

Date

Please enter below details of the person who will be responsible for dealing with the CLA Licence and who will act as the main contact.

## Licensing Co-ordinator

Name

---

Position

---

Address

---

(if different from above)

---

Phone

Ext

Fax

---

E-mail

---

CLA processes personal information fairly and lawfully in accordance with applicable data protection and related legislation. For more information please see our [Privacy Policy](#).

---

### Notes

1. Please refer to the Terms and Conditions for a definition of Associated Firms.
2. The number of employees on which the licence fee is based must include all professional employees of the Associated Firms listed which are in the UK plus the number of Full Time Equivalent Employees of all Associated Firms located outside the UK as agreed between CLA and the licensee. Please refer to the Terms and Conditions for a definition of Professional Employee.
3. The date on which you wish the Licence to commence should be entered here.
4. Indemnity for past copying. By backdating the Licence you will be entitled to the indemnity in clause 12 of the Terms and Conditions from the Date of Commencement whereby all acts done in accordance with the Terms and Conditions will be covered. The maximum term for backdating is six years. Alternatively, if your organisation has been copying for less than six years, please indicate the copying commencement date in the box provided. If no copying has taken place, please tick the 'NO' box on this application form. Please see the current Rate Card for fees relating to indemnity for past copying.

# Extended Multinational Law Licence

## Terms and Conditions

### Introduction

This Licence records the terms on which CLA grants a licence to law firms whose ultimate head office is located within the United Kingdom to photocopy, scan or otherwise reproduce, and to use or re-use extracts from material published in hard copy and electronic form in which copyright subsists.

#### 1. Definitions and interpretation

##### 1.1 In this Licence the following meanings shall apply:

**Application Form:**

the form completed by the Licensee applying for the grant of the Licence containing, amongst other things, information on its Associated Firms, Professional Employees and Full-Time Equivalent Employees;

**Associated Firms:**

any partnership, branch office, firm, individual or body corporate which carries on the practice or business of lawyers and/or the provision of ancillary professional or legal services in any part of the world and which carries on such practice or business under the same name as the Licensee or under a name which includes or refers to the same name as that of the Licensee, or which is associated financially with the Licensee and/or whose profits or losses (or any part thereof) are included in partnership profits with those of the Licensee, or (in the case of a corporate entity) whose shares or similar proprietorship rights are held directly or indirectly in the interests of the Licensee or in the interests of any partnership, firm, individual or body corporate which is, by virtue of this definition, an Associated Firm. A non-exhaustive list of Associated Firms at the date of signature of this Licence is included in, or attached to the Application Form;

**Authorised Persons:**

- i) for Paper Copies: partners in, employees of, or workers or consultants engaged by, the Licensee; and
- ii) for Digital Copies: only those persons (a) who are partners in, employees of, or are workers or consultants engaged by, the Licensee; (b) who are permitted by the Licensee to access the Secure Network and whose identity is authenticated at the time of login and periodically thereafter consistent with current best practice; and (c) whose conduct is subject to regulation and/or subject to supervision by the Licensee;

in both cases where “workers” has the meaning given to it by the Employment Rights Act 1996 and “consultants” means individuals providing services to the Licensee;

**CCC Electronic-Rights Works:**

those works, whether in digital or hard copy form, published by a Participating US Publisher identified on CLA's website or other electronic means controlled by CLA as being available for scanning and digital use or re-use;

**Certificate:**

the certificate issued by CLA to confirm the issue, or the renewal, of the Licence to the Licensee;

**CLA:**

The Copyright Licensing Agency Ltd;

**CLA's website:**

www.cla.co.uk or such other site as may be notified to the Licensee. A reference to information on, or which can be accessed via, CLA's website or other electronic means controlled by CLA shall include:

- i) any such information which is stated to be of general application to all CLA Licences and any stated to apply specifically to the CLA Multinational Licence for law firms;
- ii) that list or information as periodically amended by CLA, any such amendment taking effect on the next Quarter Day after such amendment is first posted;

**Commencement Date:**

the date noted on the first Certificate issued by CLA to the Licensee;

**Copyright Notice:**

a statement in the following or substantially similar terms: “This document has been supplied under a CLA Licence. It is protected by copyright and, save as may be permitted by law, it may not be further copied, stored or on-copied electronically, even for internal purposes, without the prior permission of the Rightsholder or under the terms of a CLA Licence”;

The Copyright  
Licensing Agency Ltd

5th Floor, Shackleton House  
4 Battlebridge Lane  
London SE1 2HX

Tel 020 7400 3100  
Email [cla@cla.co.uk](mailto:cla@cla.co.uk)  
[www.cla.co.uk](http://www.cla.co.uk)

CBC House  
24 Canning Street  
Edinburgh EH3 8EG

Tel 0131 272 2711  
Email [clascotland@cla.co.uk](mailto:clascotland@cla.co.uk)

Registered in England  
Reg no. 1690026

**Digital Copies:**

either electronic copies of Material Licensed For Scanning, in whole or part, or copies made in the course of, or as a result of, the access or use by Authorised Persons of Digital Material which, in all cases, are direct unaltered copies of the work copied and are made in accordance with the terms of the Licence;

**Digital Material:**

still images and text from:

- i) publications created and distributed in electronic form published by a Participating Digital Material Publisher;
- ii) CCC Electronic-Rights Works;
- iii) electronic publications originating in other countries as listed on or which can be accessed via CLA's website or other electronic means controlled by CLA; and
- iv) Website Material.

except, in each case, any Excluded Material. For the avoidance of doubt, material other than still images and text is not included in the Licence, nor is any material which is only referred to in, or can only be accessed by following an external link on, Website Material or in an electronic publication of a Participating Digital Material Publisher and where that material is not itself included in such electronic publication;

**Electronic Form:**

as defined in s. 178 of the Copyright, Designs and Patents Act 1988 (as amended);

**Excluded Material:**

those categories of work and individual works identified as Excluded Categories and Works as shown on CLA's website or other electronic means controlled by CLA. For the avoidance of doubt, categories of work or individual works may be excluded from Material Licensed for Photocopying, from Material Licensed for Scanning or from Digital Material or any combination thereof;

**Fee:**

the aggregate of (i) the fee calculated by multiplying the number of Professional Employees of the Licensee and all Associated Firms which are located in the United Kingdom by the current rate applicable to law firms as shown on CLA's website, subject to any Minimum Fee shown therein and (ii) the fee in respect of the number of Full Time Equivalent Employees of all of the Associated Firms of the Licensee located outside of the United Kingdom as agreed between the Licensee and CLA. The fee for the first year of the Licence is as shown on the Certificate and for subsequent years shall be the aggregate of (i) the relevant rate per Professional Employee (as shown on CLA's website prevailing three months prior to the anniversary of the Commencement Date) multiplied by the number of Professional Employees of the Licensee as used for the calculation of the fee for the previous year or such number as is determined in accordance with clause 3.5 and (ii) the amount agreed in the previous year in respect of Full Time Equivalent Employees adjusted by reference to RPI and any change in the number of such employees;

**Full-Time Equivalent Employees:**

the aggregate of all full-time and part-time employees (part-time employees being calculated on a pro rata basis by reference to the proportion that their ordinary working hours bear to those of full-time employees);

**International Mandating Publisher:**

a publisher who has agreed to permit use under the Licence of publications published anywhere in the world in which it owns or controls the copyright and who has not withdrawn such permission as identified on CLA's website or other electronic means controlled by CLA;

**International Repertoire:**

(i) for Paper Copies, original published editions of books, journals, magazines and other periodicals either published in the United Kingdom, Canada (excluding Quebec), Switzerland or published by a Participating US Publisher or published by an International Mandating Publisher; and (ii) for Digital Copies, still images and text from publications created and distributed in electronic form by a Participating Digital Material Publisher and Website Material and CCC Electronic-Rights Works;

**Licence:**

the licences granted and permitted by clause 2;

**Licensed Copies:**

either Paper Copies or Digital Copies as appropriate;

**Licensed Material:**

any and all Material Licensed For Photocopying, Material Licensed For Scanning and Digital Material;

**Licensee:**

as described in clause 1.2;

**Material Licensed For Photocopying:**

original published editions of books, journals, magazines and other periodicals in which copyright subsists published in hard copy form in the Photocopying Mandate Territories or published by a Participating US Publisher EXCEPT any Excluded Material;

**Material Licensed For Scanning:**

original published editions of books, journals, magazines and other periodicals in which copyright subsists published in hard copy form in the Scanning Mandate Territories and CCC Electronic-Rights Works and, in both cases, Paper Copies derived therefrom by the Licensee or on its behalf EXCEPT Excluded Material;

**Paper Copies:**

photocopies onto paper made from original Material Licensed For Photocopying (or a copyright fee-paid copy) and paper printouts of Digital Copies made in accordance with the terms of the Licence;

**Participating Digital Material Publisher:**

a Rightsholder who has confirmed to CLA that it is willing to permit the use under the Licence of electronic publications in which it owns or controls the copyright (and who has not withdrawn such permission) as identified on CLA's website or other electronic means controlled by CLA;

**Participating US Publisher:**

a publisher participating in the agreement between the Copyright Clearance Center (CCC) and CLA as identified on CLA's website or other electronic means controlled by CLA;

**Photocopying Mandate Territories:**

the United Kingdom, Argentina, Australia, Austria, Barbados, Belgium, Canada (including Quebec), Chile, Denmark, Finland, France, Germany, Greece, Hong Kong, Iceland, Ireland, Italy, Jamaica, Japan, Luxembourg, Malawi, Mexico, The Netherlands, New Zealand, Norway, The Philippines, Singapore, South Africa, South Korea, Spain, Sweden, Switzerland, Trinidad and Tobago and Turkey as this may be amended on CLA's website;

**Prescribed Rate:**

the rate of interest prescribed from time to time pursuant to the Late Payment of Commercial Debts (Interest) Act 1998;

**Professional Employee:**

a partner in, officer or employee of, the Licensee who is a solicitor of the Supreme Court, a barrister called to the English Bar or a Fellow of the Institute of Legal Executives (or who holds an equivalent qualification from another jurisdiction) a qualified accountant and all others holding equivalent professional qualifications ordinarily working in the United Kingdom;

**Quarter Day:**

1st January, 1st April, 1st July or 1st October;

**Rightsholder:**

any person owning or controlling the copyright in any Licensed Material;

**Scanning Mandate Territories:**

the United Kingdom, Argentina, Australia, Canada (including Quebec), Chile, Denmark, France, Greece, Hong Kong, Iceland, Ireland, Jamaica, New Zealand, Norway, The Philippines, Singapore, Spain, South Africa, South Korea, Switzerland and Turkey as this list may be amended on CLA's website;

**Secure Network:**

a network operated or controlled by a Licensee (whether a standalone network or a virtual network within the Internet) which is accessible only by Authorised Persons and those individuals described or referred to in clause 2.1.6 and clauses 7 and 8;

**Subsidiary:**

as defined by s. 1159 of the Companies Act 2006;

**Website Material:**

material in the form of still images and text (other than blogs) created and posted on Participating Digital Material Publishers' websites except any Excluded Material.

- 1.2 The term "Licensee" shall mean the ultimate head office of the law firm signing the Application Form but shall also, where the context so admits, include all Associated Firms of the Licensee (wherever located) wholly owned (whether directly or indirectly) by the Licensee, including all Subsidiaries of such Associated Firms.
- 1.3 The term "partner" shall include any natural person who is a member of a limited liability partnership.
- 1.4 All references to the singular in this Licence may include the plural and vice versa as the context so requires and references to any gender shall include both genders.

**2. Grant of licence**

- 2.1 On the issue of the Certificate, CLA grants to the Licensee and, as appropriate, Authorised Persons the non-exclusive right during the continuance of the Licence, on and subject to the terms and conditions in this Licence and in particular (but without limitation) to clause 4.5, to:
  - 2.1.1 make or permit the making of Paper Copies and to distribute, or permit the distribution of, such Paper Copies to Authorised Persons;
  - 2.1.2 scan or permit the scanning of Material Licensed for Scanning to produce Digital Copies provided that, subject to clause 6, the Licensee shall use reasonable endeavours to identify whether it subscribes to a digital version of the work in question and, if so, to use that digital version instead of creating a Digital Copy by scanning;

Nothing in this clause 2.1.2 shall prevent scanning of Material Licensed for Scanning that would otherwise be permitted under this Licence in circumstances where it is reasonably necessary to scan material in order to comply with court, arbitral, regulatory or other formal requirements;
  - 2.1.3 make available or permit the making available of Digital Copies to Authorised Persons, in both cases solely within the Secure Network. This includes, for the avoidance of doubt, the indexing, searching, opening, viewing, printing and presentation or display in electronic form of Digital Copies, but not any storage beyond that permitted by clause 8;
  - 2.1.4 make or permit the making of copies of Paper Copies;
  - 2.1.5 do anything permitted by clauses 7, 8 and 11;
- 2.2 All the rights and permissions granted by clause 2.1 must be exercised in the United Kingdom only except that:
  - 2.2.1 the rights granted by clauses 2.1.1 to 2.1.4 and those granted by clause 7.1.4 and (except as specified therein) by clause 8 may, in relation to the International Repertoire, be exercised anywhere in the world; and
  - 2.2.2 in addition to the permission granted by clause 2.2.1, Licensed Copies made from Licensed Material other than the International Repertoire may be sent to, or accessed by partners in, employees of, or workers or consultants engaged by any Associated Firms (in each case a "recipient") outside of the United Kingdom where, in the case of Digital Copies created by scanning, such recipient would otherwise satisfy part (ii)(b) of the definition of "Authorised Persons" and whose conduct would be subject to regulation and/or subject to supervision by such Associated Firms subject to Licensee using reasonable endeavours to procure compliance with the following conditions:
    - 2.2.2.1 the recipient may open and view a Digital Copy and may only print a single Paper copy therefrom;
    - 2.2.2.2 such Digital Copies must contain either a Copyright Notice (or have the same on a front sheet attached thereto).

### **3. Payment and term**

- 3.1 The Licence shall commence on the Commencement Date and then continue from year to year unless and until determined in accordance with clause 13.
- 3.2 The Licensee shall pay the Fee in each year of the Licence together with any VAT payable within 30 (thirty) days from the date of an invoice from CLA.
- 3.3 Subject to the Licensee complying with all terms and conditions of the Licence, CLA shall issue a new Certificate to the Licensee within one month of the first anniversary of the Commencement Date and each subsequent anniversary.
- 3.4 CLA reserves the right to charge interest at the Prescribed Rate on late payment of amounts due under the Licence.
- 3.5 The Licensee shall, at least one month prior to each anniversary of the Commencement Date, notify CLA of any material change in the number of its Professional Employees.

### **4. Conditions applying to the creation and use of digital copies**

- 4.1 With the exception of any part of Website Material that is 'free to view' the Licensee must own or have subscribed to an original or a copy on which it has paid a copyright fee (which shall include material supplied in either hard copy or electronic form by a supplier licensed by CLA to provide such a service such as, but without limitation, document delivery and press cuttings suppliers) of any Licensed Material it copies or scans under the terms of the Licence.
- 4.2 No Licensed Copy shall exceed the greater of 5% of any item of Licensed Material, or:
  - 4.2.1 in the case of a periodical publication, one whole article;
  - 4.2.2 in the case of a published report of judicial proceedings, the entire report of a single case; or
  - 4.2.3 in the case of a book, one chapter.
- 4.3 Licensed Copies of the same Licensed Material made for a single occasion or purpose shall be treated as one copy and clause 4.2 interpreted accordingly.

For Digital Material that is not made available or otherwise structured as a conventional book, journal, magazine or other periodical or, where the Digital Material is not divided into distinct sections identifiable as the equivalent of a book chapter or of an article in a journal, magazine or other periodical, that copying is limited to small extracts that are equivalent to the limits set out above, at all times bearing in mind in particular the requirement contained in clause 4.5 that no copying shall substitute for the purchase of original Licensed Material.
- 4.4 Save as may be provided in clauses 2 and 7, Licensed Copies may not be sold or otherwise disposed of (whether or not for valuable consideration) nor rented nor loaned to any third party or used for any external marketing or promotional purposes or exploited for purposes other than the internal information purposes of the Licensee or any individual permitted to access or receive any Licensed Copies pursuant to clause 2.
- 4.5 The making of Licensed Copies shall not directly or indirectly substitute for the purchase of original Licensed Material (including, for the avoidance of doubt, access to Digital Material whether by way of subscription or otherwise) or for the commissioning, reproduction, hire or any other use of an original artistic work within Licensed Material.
- 4.6 Save as permitted by clause 7.1.3, Licensed Copies may not be used for the delivery of education or training to third parties but may be made for the purposes of training of Authorised Persons provided that the Licensee may not receive payment or any other form of remuneration in consideration therefor.
- 4.7 For the avoidance of doubt, where the original is in full colour, Licensed Copies may be made in black and white (known as halftones) provided that no colour separations are made and provided that the Licensee complies with the provisions of clauses 5.1 and 5.2.

### **5. Further conditions applying to the creation and use of digital copies**

- 5.1 The Licensee shall not edit, amend, manipulate, add to or delete from Digital Copies nor shall it authorise the same except to the extent necessary to make the Digital Copies perceptible on a computer screen to an Authorised Person. The addition of a Copyright Notice to Digital Copies where required by the Licence, the addition of identifier tags or electronic notation superimposed on, or electronic marking-up of, a Digital Copy which clearly distinguishes such notation or marking-up from the original text (such as the use of different colours) shall not be a breach of this condition.
- 5.2 Except as may be permitted by clauses 4.7, 5.1 and clause 11, no digital manipulation, morphing, colour or shade adjustment or any other form of manipulation, may be made under the Licence of Digital Copies or of Digital Material.
- 5.3 Digital Copies may not be placed on the publicly accessible world wide web or, subject to clause 7, be linked either directly or indirectly by hypertext links (or the like) to or from any external or third party website.
- 5.4 Except as permitted by the Licence, no other copying, dissemination, publication, communication or making available to the public, repackaging or distribution, in any form, of Digital Copies, in whole or part, is permitted.
- 5.5 Where any work appearing within Digital Material or Material Licensed for Scanning incorporates, or has adjacent to it, the identity of the author or the creator of the artistic and/or literary work, the Licensee shall ensure that every Digital Copy of that work shall include the identity of such author or creator. In cases where the Digital Material or Material Licensed for Scanning does not incorporate or have adjacent to it, the identity of the author or creator of the artistic or literary work, the Licensee shall use reasonable endeavours to ensure that every Digital Copy of that work shall include the identity of such author or creator.
- 5.6 The Licensee may subcontract the making of Licensed Copies to third parties, provided that:
  - 5.6.1 the Licensee takes all reasonable steps to ensure that any such third party operates appropriate management and security procedures so as to ensure compliance with the terms of the Licence;
  - 5.6.2 a record is kept of all such subcontracts and that such record is provided on request to CLA;
  - 5.6.3 the Licensee shall be fully responsible for any acts or omissions of its subcontractors with respect to Licensed Material made available to such subcontractors to the extent that such acts or omissions would be breaches of the Licence were they acts or omissions of the Licensee;
  - 5.6.4 the use of a sub-contractor located outside of the United Kingdom shall be deemed not to be a breach of clause 2.
- 5.7 For the avoidance of doubt, nothing in this Licence shall operate to limit any rights which the Licensee may have in relation to the use of material supplied to the Licensee in electronic form under the terms and conditions of that supply.

## **6. Further conditions applying to the use of CCC Electronic-Rights Works**

- 6.1** Notwithstanding clause 2.1.2, the Licensee may only scan, or permit the scanning of, hard copies of a CCC Electronic-Rights Work under the Licence where the same is not reasonably available in electronic form for the Licensee to purchase whether by subscription or otherwise.
- 6.2** The use of CCC Electronic-Rights Works is subject to such special limitations or other terms (if any) as are applicable to any individual work as identified on CLA's website.

## **7. Further permitted uses**

- 7.1** The Licensee may, subject to clause 7.2, supply single Licensed Copies to:
- 7.1.1** existing clients in connection with the provision of advice on matters or in effecting transactions on which the Licensee has already been engaged by the client and to external advisers or consultants to the client and other third parties (such as, but without limitation, witnesses, law enforcement agencies, patent and trademark applications or filing offices and other regulatory bodies) who are engaged, or otherwise involved, in the matter or transaction where such supply is reasonably required for the purposes of the matter or transaction;
  - 7.1.2** existing clients in response to ad hoc requests from them and not as part of a continuing service or on a systematic basis;
  - 7.1.3** both prospective and existing clients where the supply is intended to alert the prospective or existing client to some matter or issue on which further advice might be sought by the prospective or existing client or which is otherwise intended to solicit new business provided such supply is on an ad hoc basis and not as part of a continuing service or on a systematic basis;
  - 7.1.4** existing clients, barristers and other external advisers and members of the judiciary or staff employed in Her Majesty's Courts Services in connection with the preparation, institution or prosecution or defence of legal proceedings or the giving of advice regarding any proceedings which may be contemplated or apprehended and to opposing legal advisers in such cases.
- 7.2** The Licensee shall use reasonable endeavours to ensure that, where practicable, Licensed Copies supplied pursuant to this clause 7 must contain a Copyright Notice or have the same on a front sheet attached thereto. Where a document to be scanned already contains copyright notices placed on the document by the person owning or controlling the copyright, the Licensee shall not be required to add further notices to the document.
- 7.3** The references to clients, advisers, consultants and other third parties in clause 7.1 shall also include clients, advisers, consultants and third parties situated outside the UK.
- 7.4** The permissions granted by clause 7.1.3 shall not apply to CCC Electronic-Rights Works.

## **8. Storage and deletion of digital copies**

- 8.1** The Licensee may store Digital Copies on the Secure Network. Such Digital Copies may be indexed and searchable, and, except in the case of CCC Electronic-Rights Works, may be accessed by the clients involved in the case or matter in question.
- 8.2** Subject to clauses 8.1, Digital Copies may not be stored on a server, or systematically indexed, with the intention of creating an electronic library or similar corporate information resource. The provisions of this clause 8 are without prejudice to, and are subject to the provisions of, clauses 4.5 and 5.7.
- 8.3** Upon termination of the Licence the Licensee shall, as soon as reasonably practicable delete from the hard drives of all of its central and all local servers any and all Digital Copies which may be stored there except that the Licensee may retain:
- 8.3.1** those Digital Copies the retention of which is required by law or other regulatory requirement;
  - 8.3.2** Digital Copies stored pursuant to clause 8.1 for record purposes only which may not be accessed or used otherwise than in connection with subsequent legal proceedings (whether actual, contemplated or apprehended) or in connection with compliance and regulatory matters regarding the case or matter for which they were originally stored; and
  - 8.3.3** Digital Copies stored pursuant to clause 8.1 for so long as the matter or case for which they were originally stored is still active.
- The permissions to retain Digital Copies under 8.3.1 and 8.3.2 above shall apply upon a termination for any reason; the permission to retain Digital Copies under 8.3.3 above shall not apply upon a termination by CLA for cause or upon a non-renewal by the Licensee. Upon written request from CLA the Licensee shall certify that it has complied with its obligations under this clause 8.3.
- 8.4** Subject to any statutory or regulatory obligations relating to retention of documentation, the Licensee shall delete from the hard drives of all its central and local servers any and all Digital Copies which may be stored there upon notice from CLA to the Licensee that the Rightsholder believes that the Licensed Material contained in the Digital Copies infringes copyright or is, or may be, defamatory, obscene or otherwise unlawful and has removed, or intends to remove, the same for that reason from the current version of the relevant Digital Material or Material Licensed for Scanning as the case may be.
- 8.5** The provisions of this clause 8 are without prejudice to, and are subject to, the provisions of clause 4.5.

## **9. Other obligations**

- 9.1** The Licensee shall:
- 9.1.1** notify Authorised Persons of, and ensure their compliance with, the provisions of the Licence;
  - 9.1.2** use reasonable endeavours to display adjacent to every copying machine used to make Paper Copies, and every scanner used to produce Digital Copies, any Licensee support material which CLA may periodically supply, or make available on CLA's website, to the Licensee;
  - 9.1.3** ensure that all Authorised Persons employed or engaged by Associated Firms located outside the United Kingdom are made aware of, and comply with, the obligations and restrictions of the Licence.
- 9.2** In exercising their rights under the Licence, the Licensee shall be responsible for complying with all applicable laws of any kind including (without limitation) moral rights, data protection, the obtaining of any consents which may be required from any person, firm or company (other than those consents relating solely to copyright and/or database right), privacy or personality rights of any kind, defamation or obscenity.

## **10. Data collection**

- 10.1** CLA may, no more than once in each year, require the Licensee to participate in a data collection exercise to identify the type of photocopying and scanning of Licensed Material and the use or re-use of Digital Material under the Licence which will assist CLA in distributing the Fee to authors, artists and publishers.
- 10.2** The data collection exercise may, without limitation, take the form of a survey or record keeping or online exercise, or any combination of these, including the recording of the creation of Digital Copies, in accordance with any guidelines on data collection on CLA's website.
- 10.3** If selected by CLA to participate in a data collection exercise, the Licensee shall cooperate with CLA in conducting the data collection exercise and undertakes to ensure that its employees comply with its obligations under this clause and, in particular, shall be responsible for ensuring compliance by any of its overseas Associated Firms and shall, at the request of CLA, co-ordinate the recording and collection of information required by the data collection exercise and its transmission to CLA.
- 10.4** CLA undertakes not to disclose any information obtained as a result of any data collection exercise except:
- 10.4.1** as required by a court or other authority of competent jurisdiction; or
  - 10.4.2** in aggregated form from which the identity of the Licensee cannot directly be identified.

## **11. Print disabled persons**

- 11.1** The provisions of this clause shall only apply where an Authorised Person is a Print Disabled Person in accordance with clause 11.3.
- 11.2** Notwithstanding the provisions of clause 4.2 the Licensee, and as appropriate Authorised Persons, may make and supply a copy of part or the whole of any work within Licensed Material in an alternative manner or form that gives a Print Disabled Person access to the work including allowing for the Print Disabled Person to have access as feasibly and comfortably as a person without a visual impairment or any of the disabilities referred to in clause 11.3 (an "Accessible Format Copy") but must respect the integrity of the work and not include any changes to the work which are not necessary in order to make an Accessible Format Copy for the exclusive use of the Print Disabled Person for whom it is intended on, and subject to, the following conditions:
- 11.2.1** the Licensee must have lawful possession of an original copy of any work from which it makes an Accessible Format Copy;
  - 11.2.2** the Licensee may only make an Accessible Format Copy of a work if the same kind of Accessible Format Copies are not commercially available on reasonable terms by or with the authority of the copyright owner;
  - 11.2.3** each Accessible Format Copy that exceeds the limits set out in clause 4.2 shall contain:
    - i)** a statement that it is a copy of the original work made under a CLA Licence for the personal use of a Print Disabled Person and that it may not be further copied (including any electronic copying or transmission) or dealt with without permission or save as may be permitted by law; and
    - ii)** the title, as well as the name of the author and publisher, of the original work and the published edition from which it is copied.
  - 11.2.4** the Licensee may only charge for the supply of an Accessible Format Copy an amount which does not exceed the cost of making and supplying it;
  - 11.2.5** that the Accessible Format Copy is only for the personal use of a Print Disabled Person (or a person acting on behalf of a Print Disabled Person) who is an Authorised Person; and
  - 11.2.6** Accessible Format Copies may only be distributed in the United Kingdom except that Accessible Copies of works published within the United Kingdom may be distributed elsewhere in the European Union and a third country that is a party to the Marrakesh Treaty 2013.
- 11.3** An Authorised Person is to be regarded as a "Print Disabled Person" for the purposes of this clause if he or she is a person who:
- 11.3.1** is blind;
  - 11.3.2** has a visual impairment which cannot be improved so as to give that person visual function substantially equivalent to that of a person who has no such impairment;
  - 11.3.3** has a perceptual or reading disability, including dyslexia, and is, as a result, unable to read printed works to substantially the same degree as a person without an impairment or disability;
  - 11.3.4** is otherwise unable, due to physical disability, to hold or manipulate a book or to focus or move their eyes to the extent that would be normally acceptable for reading; or
  - 11.3.5** would otherwise be regarded as having a disability in accordance with s.6 of the Equality Act 2010.
- 11.4** This clause is without prejudice to and does not purport to prevent or restrict the doing of any act which by virtue of and in accordance with the Copyright, Designs and Patents Act 1988 would not infringe copyright.

## **12. Indemnity**

- 12.1** In this clause:
- 12.1.1** "Qualifying Claim" shall mean any claim or complaint made in writing that any Indemnified Person (as defined below) acting in accordance with the Licence has infringed copyright and/or database right in Licensed Material or in any part of it or in the typographical arrangement of the published edition in which Licensed Material is contained. A "Qualifying Claim" shall not include any claim or complaint to the extent arising out of:
    - i)** a failure to comply (notwithstanding the supervision by an Associated Firm of Authorised Persons and the use by the Licensee of reasonable endeavours to ensure compliance) with the conditions set out in clause 2.1.6. attaching to the sending to or access by an Associated Firm outside of the United Kingdom pursuant to clause 2.1.6; or
    - ii)** a failure to attach a Copyright Notice as envisaged by clause 7.3 (notwithstanding the use by the Licensee of its reasonable endeavours so to do).
  - 12.1.2** "Indemnified Persons" shall mean:
    - any Licensee; and
    - i)** any individuals permitted to access or receive any Licensed Copies pursuant to clauses 2, 7, 8 and 11; and



- ii) any Associated Firms employing or engaging any such individuals to the extent only that they are held responsible for the acts of the individual the subject matter of a Qualifying Claim.
- 12.2** In the case of any Qualifying Claim CLA shall indemnify the Indemnified Persons in respect of all reasonable legal costs, expenses and damages awarded against or incurred by any of them including any ex gratia payments made with the prior written consent of CLA, provided that the Indemnified Persons have complied with the relevant terms of the Licence where applicable and given CLA notice of any Qualifying Claim within ten (10) working days or, in the case of a Claim Form, within five (5) working days of the same having been received by an Indemnified Person.
- 12.3** The indemnity conferred by this clause shall not apply:
- 12.3.1** if the relevant Indemnified Person is in material breach of any term of the Licence;
  - 12.3.2** during any period, or in respect of matters arising during such period, while the Licence is in suspense pursuant to clause 13.2;
  - 12.3.3** following a termination pursuant to clause 13.2;
- without prejudice to any Qualifying Claims in respect of any matters which do not themselves constitute a material breach of the Licence and which were properly lodged before termination or suspension, but shall otherwise survive the termination of the Licence.
- 12.4** CLA shall take over responsibility for any negotiations for the settlement of any Qualifying Claim and if such Qualifying Claim cannot be resolved by negotiation CLA shall assume full responsibility for the conduct of the defence of such Qualifying Claim. The Indemnified Persons shall co-operate with CLA in this respect where reasonably requested by CLA to do so. CLA will use its reasonable endeavours to defend any Qualifying Claim and will not make any admission, agree to any injunction or undertaking or issue any press comment or release without first consulting with, and taking reasonable account of the comments of, the Licensee. CLA will not make any such admission, agree to any injunction or undertaking or issue any press comment or release relating to a Qualifying Claim if the Licensee notifies CLA, promptly after being consulted, that reputational or other damage may be caused to the Licensee as a result of so doing, provided that the Licensee also undertakes to release CLA from its obligation to indemnify under clause 12.2 in respect of the Qualifying Claim in question, such release to take effect from the date the Qualifying Claim was first made. If at any time CLA wishes to settle the Qualifying Claim but the Licensee wishes to run a defence, the Licensee may do so but at its own cost and expense and CLA shall provide reasonable help and assistance provided that:
- i) CLA may settle the action so far as it relates to CLA; and
  - ii) CLA shall not be required to incur material costs or expenses in providing such help and assistance and shall not be required to indemnify the Licensee in respect of any costs, expenses or damages that may be awarded against or incurred by the Licensee.
- 12.5** The Indemnified Persons shall ensure that no admission or offer of payment or indemnity shall be made or given by or on their behalf or on behalf of CLA without CLA's written consent and shall ensure that all correspondence received in connection with a Qualifying Claim is promptly passed to CLA.
- 12.6** The Licensee shall indemnify CLA in respect of all reasonable expenses, damages and legal costs awarded against or incurred by CLA in respect of any claim made in writing arising out of the Licensee's breach of any term of the Licence and the provisions of this clause 12 shall apply as appropriate as if the references to "Qualifying Claim" were references to a claim under this clause 12, references to "CLA" were references to the "Licensee" and references to "Indemnified Persons" were references to "CLA".
- 13. Termination and breach**
- 13.1** Either party may terminate the Licence upon giving to the other at least one (1) month's written notice to expire on the first anniversary of the Commencement Date or any subsequent anniversary thereafter.
- 13.2** If the Licensee commits any material breach of any of the provisions of the Licence and remains in breach fourteen (14) days after receiving notice to remedy such breach (where the breach is remediable) then CLA, without prejudice to any of its other rights, may by notice either terminate the Licence or suspend the Licence until CLA shall be satisfied such breaches will not recur.
- 13.3** Either party may terminate the Licence by notice in writing to the other if and when a supervisor, receiver, administrator, administrative receiver or other encumbrancer takes possession of, or is appointed over, the whole or any substantial part of the other party's assets or if and when the other party enters into any arrangement or composition with or for the benefit of its creditors (including any voluntary arrangement under the Insolvency Act 1986) or if and when a petition is presented for the purpose of the making of an administration order or the winding-up of the other party which is not discharged within seven (7) days of the presentation of such a petition or if the other party is placed into liquidation or administration or if the other party is dissolved or if a resolution for the winding-up of the other party is passed (other than a voluntary liquidation for the purpose of reconstruction in which all creditors' claims will be discharged in full) or if a bankruptcy petition is presented against the other party which is not discharged within seven (7) days of its presentation.
- 13.4** Termination of the Licence shall be without prejudice to any accrued rights or remedies of either party.
- 14. General**
- 14.1** All notices given under the Licence shall be in writing by electronic mail. In the case of there being no valid electronic contact, notices shall be sent by first class post, and shall be deemed to have been served on the second working day (which shall exclude weekends and English public holidays) following the date of posting.
- 14.2** The Licence is personal to the Licensee and may not be assigned or otherwise disposed of in whole or in part.
- 14.3** The Licence shall be governed by English law and the parties agree to submit to the exclusive jurisdiction of the English courts as regards any claim or matter arising in relation to the Licence.
- 14.4** The parties do not intend that any term of the Licence shall be enforceable solely by virtue of the Contracts (Rights of Third Parties) Act 1999 by any person who is not a party to the Licence.
- 14.5** Unless ordered by due legal authority, CLA shall not without the Licensee's consent disclose to any third party any information supplied to CLA by the Licensee, except:
- 14.5.1** information in aggregated form from which the Licensee cannot be identified; or
  - 14.5.2** the identity of the Licensee alone.

